Document Checklist

To enable us to give the best possible financial planning services, please provide copies of the following financial documents:

Retirement Planning Documents

- Recent IRA, 401(k), 403(b), TSA, Keogh statements
- Employee Benefits Program
- Deferred Compensation and Stock Option Agreements
- Pension and Profit-Sharing statements
- Social Security Benefits Estimate

Tax Planning Documents

- Most recent tax returns
- W-2 & recent pay stubs
- Estimated taxes

Financial Documents

- Savings account statements
- Mutual fund statements
- Brokerage savings statements
- Education savings statements
- Investment documents
- List of stocks held outside of brokerages
- Mortgage statements

Asset Protection Documents

- Life insurance policies & statements
- Medical, homeowners & auto insurance policies and statements
- Disability, umbrella, and long-term care insurance policies
- Annuity policies and statements

Estate Planning Documents

- Will, Living Will, Durable Powers of Attorney & Healthcare Powers
- Living Trusts

Other Documents

- Advisor requested documents